



Levine Act Subcontractor Form:

Identification of Agents

Completed form submitted to the County of Santa Clara is a public record.

This Section to be completed by County Staff after Form is completed by Subcontractor:

Date of Board of Supervisors Meeting
When Agreement/Amendment Will Be Considered: April 16, 2024

Legislative File No.: 24-4868

Instructions to Subcontractor for Completion of Form:

For any contract or grant/sponsorship agreement (including amendments) with the County of Santa Clara ("Agreement") that will be submitted to the County of Santa Clara Board of Supervisors for consideration, this form must be separately filled out by any subcontractor identified in the solicitation/grant/sponsorship proposal or in the Agreement with the County ("Subcontractor"). Upon completion, return this form to the Agreement contractor or grant/sponsorship recipient ("Contractor") for submission to the County.

NOTE: This form is for the identification of Subcontractor's agents only. If a Subcontractor or their agents have any campaign contributions they are required to disclose pursuant to the Levine Act, they must separately disclose those contributions. They may make such disclosures at <https://www.sccgov.org/levineact>.

Subcontractor Legal Name
(include d/b/a if applicable):

Axis Mechanical Inc.

Title or Short Description of Agreement:

O'connor Hospital MRI #2 upgrade

Provide list of Subcontractor's Agents (as that term is defined under [Government Code section 84308](#) and [California Code of Regulations section 18438.3¹](#)) for this Agreement:

	Name of Agent(s):
1.	Mike Herrera
2.	Jonathan Diaz
3.	Tom Best
4.	

If there are more than four Agents, please submit a supplemental form. If attaching a supplemental form, check this box: ☐

If no Agents, check this box: ☐

The undersigned declares that they are a representative of Subcontractor and are empowered to represent, bind, and execute contracts on behalf of the firm or individual. The undersigned declares that all statements in this Form are true and correct.

Tom Best

Printed Name

CFO

Title

Tom Best

Subcontractor's Authorized Representative Signature

3/19/2024

Date

Digitally signed by Tom Best
DN: C=US, E=tomb@axismechanicalinc.com, O=Axis
Mechanical Inc., OU=HVAC contractor, CN=Tom Best
Date: 2024.03.19 16:10:05-0700



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ⁱ California Code of Regulations section 18438.3 states:

(a) A person is the 'agent' of a party to, or a participant in, a pending proceeding involving a license, permit or other entitlement for use only if the person represents that party or participant for compensation and appears before or otherwise communicates with the governmental agency for the purpose of influencing the pending proceeding.

(b) If an individual acting as an agent is also acting as an employee or member of a law, architectural, engineering or consulting firm, or a similar entity or corporation, both the entity or corporation and the individual are "agents."

(c) "Communication with the governmental agency for the purpose of influencing the proceeding" does not include:

(1) Drawings or submissions of an architectural, engineering, or similar nature prepared by a person for a client to submit in a proceeding before the agency if:

(A) The work is performed pursuant to the person's profession; and

(B) The person does not make any contact with the agency other than contact with agency staff concerning the process or evaluation of the documents prepared by the official; or

(2) Purely technical data or analysis provided to an agency by a person who does not otherwise engage in direct communication for the purpose of influencing the proceeding.